



10/13/00

10/16/00

A

PTO/SB/05 (12/97)

Approved for use through 09/30/00. ONB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney docket No. 00-1004	Total Pages 21
	First Named Inventor or Application Identifier	
	Jonathan T. Huntington II	
	Express Mail Label No. EF336478983US	

10/13/00

10/13/00

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																		
<table border="0"><tr><td>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</td><td>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</td></tr><tr><td>2. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below)<ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul></td><td>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul></td></tr><tr><td>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]</td><td></td></tr><tr><td>4. Oath of Declaration [Total Pages 2]<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul></td><td></td></tr><tr><td>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath of declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</td><td></td></tr></table>		1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	2. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>	3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]		4. Oath of Declaration [Total Pages 2] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>		5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath of declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.									
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)																		
2. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>																		
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2]																			
4. Oath of Declaration [Total Pages 2] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>																			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath of declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.																			
<b>ACCOMPANYING APPLICATION PARTS</b> <table border="0"><tr><td>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</td><td></td></tr><tr><td>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)</td><td><input type="checkbox"/> Power of Attorney</td></tr><tr><td>10. <input type="checkbox"/> English Translation Document (if applicable)</td><td></td></tr><tr><td>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1448</td><td><input type="checkbox"/> Copies of IDS Citations</td></tr><tr><td>12. <input type="checkbox"/> Preliminary Amendment</td><td></td></tr><tr><td>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</td><td></td></tr><tr><td>14. <input type="checkbox"/> Small Entity Statement(s)</td><td><input type="checkbox"/> Statement filed in prior application, Status still proper and desired</td></tr><tr><td>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</td><td></td></tr><tr><td>16. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing</td><td></td></tr></table>		8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney	10. <input type="checkbox"/> English Translation Document (if applicable)		11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1448	<input type="checkbox"/> Copies of IDS Citations	12. <input type="checkbox"/> Preliminary Amendment		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		14. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		16. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing	
8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))																			
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney																		
10. <input type="checkbox"/> English Translation Document (if applicable)																			
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1448	<input type="checkbox"/> Copies of IDS Citations																		
12. <input type="checkbox"/> Preliminary Amendment																			
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)																			
14. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired																		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)																			
16. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing																			

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Reissue ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Claudia Cameron				
ADDRESS	Phoenix Technologies Ltd. 411 East Plumeria Drive				
CITY	San Jose	STATE	CA	ZIP CODE	95134
COUNTRY	USA	TELEPHONE	(408) 570-1038	FAX	(408) 570-1044

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FEE TRANSMITTAL LETTER

October 12, 2000

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application, including four (4) sheet(s) of drawing,  
of inventor(s): Jonathan T. Huntington II, et al.  
for: Extensible Firmware Interface Virus Scan

Applicant is a small entity ☐; large entity ☒

The filing fee for this application is calculated below:

FOR:	CLAIMS AS FILED	RATE	TOTAL
Basic Fee		\$ 710.00 =	\$ 710.00
Total Claims	8 - 20 = 0 times	\$ 18.00 =	\$ 0.00
Independent Claims	1 - 3 = 0 times	\$ 80.00 =	\$ 0.00
Multiple Dependent Claims	0 times	\$ 270.00 =	\$ 0.00
TOTAL FILING FEE			\$ 710.00
Assignment Recording Fee	1 times	\$ 40.00 =	\$ 40.00
TOTAL FEES			\$ 750.00

A cheque in the amount of \$ **750.00** is enclosed with this Application Transmittal Letter to  
cover the filing fees. This form is submitted in duplicate.

Respectfully submitted

Kenneth W. Float  
Reg. No. 29,233

The Law Offices of Kenneth W. Float  
Office address: 2 Shire, Coto de Caza, CA 92679  
Mailing address: P. O. Box 80790, Rancho Santa Margarita, CA 92688  
Telephone: (949) 459-5519  
Facsimile: (949) 459-5520

PATENT  
00-1004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jonathan T. Huntington II, et al. : Date: October 12, 2000  
Serial No. : Group Art Unit:  
Filed: : Examiner:  
For: Extensible Firmware Interface Virus Scan : Batch No.:

**CERTIFICATE OF MAILING  
UNDER 37 CFR 1.10**

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**Identification of Transmitted Papers**

Utility Patent Application Transmittal form, patent application comprising nine (9) pages plus a cover page, two (2) sheets of drawing, Combined Declaration and Power of Attorney form, Assignment for recording, Recordation form cover sheet (PTO-1595), Fee Transmittal Letter in duplicate, cheque in the amount of \$750.00, and return receipt postcard

**CERTIFICATION OF EXPRESS MAIL DEPOSIT**

"EXPRESS MAIL" MAILING LABEL NO. EF336478983US

DATE OF DEPOSIT -October 13, 2000

I hereby certify that the above-identified correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10, on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Kenneth W. Float  
Reg. No. 29,233

The Law Offices of Kenneth W. Float  
Office Address: 2 Shire, Coto de Caza, CA 92679  
Mailing Address: PO Box 80790, Rancho Santa Margarita, CA 92688  
Telephone: (949) 459-5519  
Facsimile: (949) 459-5520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FEE TRANSMITTAL LETTER

October 12, 2000

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application, including four (4) sheet(s) of drawing,  
of inventor(s): Jonathan T. Huntington II, et al.  
for: Extensible Firmware Interface Virus Scan

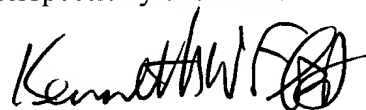
Applicant is a small entity ☐; large entity ☒

The filing fee for this application is calculated below:

FOR:	CLAIMS AS FILED	RATE		TOTAL
Basic Fee		\$ 710.00	=	\$ 710.00
Total Claims	8 - 20 = 0 times	\$ 18.00	=	\$ 0.00
Independent Claims	1 - 3 = 0 times	\$ 80.00	=	\$ 0.00
Multiple Dependent Claims	0 times	\$ 270.00	=	\$ 0.00
TOTAL FILING FEE				\$ 710.00
Assignment Recording Fee	1 times	\$ 40.00	=	\$ 40.00
TOTAL FEES				\$ 750.00

A cheque in the amount of \$ **750.00** is enclosed with this Application Transmittal Letter to  
cover the filing fees. This form is submitted in duplicate.

Respectfully submitted



---

Kenneth W. Float  
Reg. No. 29,233

The Law Offices of Kenneth W. Float  
Office address: 2 Shire, Coto de Caza, CA 92679  
Mailing address: P. O. Box 80790, Rancho Santa Margarita, CA 92688  
Telephone: (949) 459-5519  
Facsimile: (949) 459-5520